

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

In re

: Chapter 11 Case No.

LEHMAN BROTHERS HOLDINGS INC., *et al.*,

: 08-13555 (JMP)
(Jointly Administered)

Debtors.

:

:

-x Ref. Docket No. 31091, 31280

AFFIDAVIT OF SERVICE

STATE OF NEW YORK)
)
) ss.:
COUNTY OF NEW YORK)

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On October 12, 2012, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez

Lauren Rodriguez

Sworn to before me this
22nd day of October, 2012

/s/ Cassandra Murray
Notary Public, State of New York
No. 01MU6220179
Qualified in Queens County
Commission Expires April 12, 2014

EXHIBIT A

UNITED STATES BANKRUPTCY COURT
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF
BANKRUPTCY PROCEDURE 3001(e)(2) OR (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: TRICADIA DISTRESSED AND SPECIAL SITUATIONS MASTER FUND LTD
C/O TRICADIA CAPITAL MANAGEMENT, LLC
ATTN: JAMES E. MCKEE
780 THIRD AVENUE, 24TH FLOOR
NEW YORK NY 10017

Please note that your claim # 5804-02 in the above referenced case and in the amount of
\$11,258,398.00 allowed at \$9,136,852.86 has been transferred (unless previously expunged by court order)

CANTOR FITZGERALD & CO.
TRANSFEROR: TRICADIA DISTRESSED AND SPECIAL SITUATIONS MASTER FUND LTD
ATTN: NATHAN PLOTKIN
110 EAST 59TH STREET
NEW YORK NY 10022

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT
Southern District of New York
One Bowling Green
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 31280 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFeree WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 10/12/2012

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on October 12, 2012.

EXHIBIT B

TIME: 13:30:03
DATE: 10/12/12

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LEHMAN BROTHERS HOLDING INC.
CREDITOR LISTING

Name	Address
CANTOR FITZGERALD & CO. EFG BANK AB (PUBL) ESKILSTUNA, REGNAL I. TRICADIA DISTRESSED AND SPECIAL SITUATIONS MASTER FUND LTD	TRANSFEROR: TRICADIA DISTRESSED AND SPECIAL SITUATIONS MASTER FUND LTD ATTN: NATHAN PLOTKIN 110 EAST 59TH STREET NEW YORK NY 10022 P.O. BOX 55963 STOCKHOLM S-102 16 SWEDEN TRANSFEROR: EFG BANK AB (PUBL) TORSHALLAVAGEN 41 ESKILSTUNA S-633 44 SWEDEN C/O TRICADIA CAPITAL MANAGEMENT, LLC ATTN: JAMES E. MCKEE 780 THIRD AVENUE, 29TH FLOOR NEW YORK NY 10017

Total Number of Records Printed

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